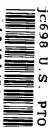


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Address to: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231



UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b) is the utility patent application of

Applicant (or identifier): AULT ET AL.

Title: PHARMACEUTICAL COMPOSITIONS FOR THE ORAL DELIVERY
OF PHARMACOLOGICALLY ACTIVE AGENTS

Enclosed are:

1. ☒ Specification (Including Claims and Abstract) - 15 pages
2. ☐ Drawings - sheets
3. ☐ Executed Declaration and Power of Attorney (original or copy)
4. ☐ Microfiche Computer Program (appendix)
5. Nucleotide and/or Amino Acid Sequence Submission
 - ☐ Computer Readable Copy
 - ☐ Paper Copy
 - ☐ Statement Verifying Identity of Above Copies
6. ☒ Preliminary Amendment
7. ☐ Assignment Papers (Cover Sheet & Document(s))
8. ☐ English Translation of
9. ☐ Information Disclosure Statement
10. ☐ Certified Copy of Priority Document(s)
11. ☒ Return Receipt Postcard
12. ☒ Other: unexecuted declaration and application data sheet

Filing fee calculation:

- ☐ Before calculating the filing fee, please enter the enclosed Preliminary Amendment.
☐ Before calculating the filing fee, please cancel claims

Basic Filing Fee		\$		740	
Multiple Dependent Claim Fee (\$ 280)		\$			
Foreign Language Surcharge (\$ 900)		\$			
	For	Number Filed		Number Extra	Rate
Extra Claims	Total Claims	14	-20	0	x \$ 18 = \$
	Independent Claims	1	-3	0	x \$ 84 = \$
TOTAL FILING FEE				\$	740

- ☒ Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$740. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis Corporation.

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Novartis Corporation
Patent and Trademark Dept.
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Summit, NJ 07901-1027

Please direct all telephone calls to the undersigned at the number given below, and all telefaxes to (908) 522-6955.

Respectfully submitted,



Carol A. Loeschorn
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Date: December 4, 2001